

EDITORIAL

LEGAL EDUCATION AND PROFESSIONAL TRAINING RE COMPLIANCE AND ETHICS

We are pleased to present the latest issue of the Compliance Elliance Journal (CEJ).

In this edition, we will focus on legal education and professional training re compliance and ethics.

This edition begins with a piece called “Rethinking Compliance – Essential Corner-Stones For More Effectiveness In Compliance Management,” written by Prof. Dr. Stephan Grüninger and Lisa Schöttl. In the light of how companies dealt with Compliance Management in the past, the two authors critically examine a more effective way of Compliance. They call it „Compliance Management 2.0“. As they consider neutralization techniques to be often linked with non-compliant behavior, they underline the meaning of ethical principles. Moreover they point out some further indispensable points when it comes to questions round about effectiveness of Compliance Management Systems. Without giving too much away: moral foundation, attainable goals and the role model function of top managers play an essential role according to the authors.

In the subsequent article „Cognitive Dissonance As A Prevention Strategy“ Prof. Dr. Hendrik Schneider takes up in depth the just mentioned problem of neutralization strategies. He demonstrates the connection between techniques of neutralization and applied economic criminology. In doing so he creates a link between Michael Walter's statements of the perpetrator to the offense based on the theory of techniques of neutralization and well structured compliance programs. The author emphasizes the meaning of in-depth programs, in order to guarantee internally prevention measures. According to the author, the “tone from the top” should not be underestimated when it comes to neutralization strategies.

Thereafter, Dr. Rita Shackel turns to various potential sources of conflicts of interest in decision-making by professionals in her essay titled “The Role Of Intellectual Beliefs And Professional Culture As A Source Of Potential Conflicts Of Interest”. The author underlines the need for more open and honest cross-disciplinary conversations regarding the way conflicts of interest are constructed and navigated in different scholarly and professional contexts. In doing so potential conflicts of interest could be uncovered.

This edition then turns to an article about Integrity Management. Dr. Daniel Dietzfelbinger sees Integrity Management from a different angle. In his piece “Integrity Cultures As A Forward-Looking Success Factor: A Practical Example” he exposes Integrity Management as a proactive control factor of support in the implementation of strategic goals. On the basis of a practical example he shows the path about how Integrity Management becomes a fundamental module in implementing the strategy.

Finally, Tiezheng Li provides some insights into the world of Compliance Management and Compliance Training in China. Starting from the previous understanding of compliance, he shows the wind of change regarding the meaning of Compliance Management in China. According to Li a growing awareness of compliance can be recognized among Chinese domestic non-financial companies. In addition, he points out the importance of Compliance Training within the scope of an effective Compliance Management System. In doing so the author also takes the opportunity to discuss some typical culture challenges, which occurred during Compliance Trainings for Chinese companies.

We hope you enjoy our fall edition!

With our best regards,

Two handwritten signatures in blue ink. The first signature on the left is 'Michele' and the second signature on the right is 'H. Schneider'.

Michele DeStefano & Dr. Hendrik Schneider
Founders and Content Curators of CEJ